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CONFIRMATION NO. 9978

SERIAL NUMBER 09/864,015	FILING DATE 05/23/2001 RULE	CLASS 705	GROUP ART UNIT 3624	ATTORNEY DOCKET NO. CHA920010005US1
APPLICANTS Charles P. Tresser, New York, NY; Daniel C. Sturman, Englewood Cliffs, NJ;				
** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/23/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature _____ Initials _____	STATE OR COUNTRY NY	SHEETS DRAWING 5	TOTAL CLAIMS 34	INDEPENDENT CLAIMS 5
ADDRESS 23550 HOFFMAN WARNICK & D'ALESSANDRO, LLC 75 STATE STREET 14TH FL ALBANY , NY 12207				
TITLE Fair and scalable trading system and method				
FILING FEE RECEIVED 1122	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue)	